

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau



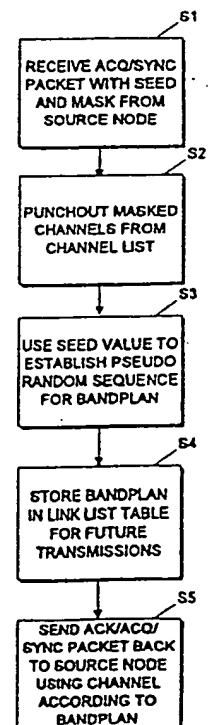
INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification H04B 15/00	6 Reg.	A1	(11) International Publication Number: WO 96/00467
(21) International Application Number: PCT/US95/07956			(43) International Publication Date: 4 January 1996 (04.01.96)
(22) International Filing Date: 22 June 1995 (22.06.95)			
(30) Priority Data: 08/265,096 24 June 1994 (24.06.94) US			(81) Designated States: CA, CN, JP, MX, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).
(71) Applicant: METRICOM, INC. [US/US]; 980 University Avenue, Los Gatos, CA 95030 (US).			Published With international search report.
(72) Inventors: FLAMMER, George, H., III; 21884 Woodbury Drive, Cupertino, CA 95014 (US). GALLOWAY, Brett, D.; 681 Stanfield Drive, Campbell, CA 95008 (US). PAULSEN, David, L.; 703 Waltham Street, Mountain View, CA 94040 (US).			
(74) Agents: ALLEN, Kenneth, R. et al.; Townsend and Townsend and Crew, Steuart Street Tower, One Market Plaza, 20th floor, San Francisco, CA 94105 (US).			

(54) Title: METHOD FOR FREQUENCY SHARING AND FREQUENCY PUNCHOUT IN FREQUENCY HOPPING COMMUNICATIONS NETWORK

(57) Abstract

In a wireless packet communication system having a plurality of nodes, each having a transmitter and a receiver, the receiver at each node is assigned a seed value and is provided with a channel punchout mask (s1). A node uses its seed value and punchout mask to generate a specific randomly ordered channel hopping band plan (s3) on which to receive signals. A node transmits its seed value and punchout mask (s1) to target nodes with which it wants to establish communication links, and each of those target nodes uses the seed value and punchout mask to generate the randomly ordered channel hopping band plan (s3) for that node. Subsequently, when one of the target nodes wishes to transmit to the node, the target node changes frequency to the frequency of the node according to that node's band plan (s5).



**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
AU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	IE	Ireland	NZ	New Zealand
BJ	Benin	IT	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgyzstan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LI	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LU	Luxembourg	TD	Chad
CS	Czechoslovakia	LV	Latvia	TG	Togo
CZ	Czech Republic	MC	Monaco	TJ	Tajikistan
DE	Germany	MD	Republic of Moldova	TT	Trinidad and Tobago
DK	Denmark	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	US	United States of America
FI	Finland	MN	Mongolia	UZ	Uzbekistan
FR	France			VN	Viet Nam
GA	Gabon				

METHOD FOR FREQUENCY SHARING AND FREQUENCY PUNCHOUT IN  
FREQUENCY HOPPING COMMUNICATIONS NETWORK

5

BACKGROUND OF THE INVENTION

The invention relates generally to the field of data communication. More particularly, this invention relates to a technique for establishing a channel band plan for a node in a communication network that utilizes multi-channel hopping. This invention has particular application to packet communication radio mesh networks using frequency hopping.

Packet communication networks provide for the transfer of data packets between various remote locations herein referred to as nodes. Nodes are equipped with transmitters and receivers that can transmit data over a medium, which may be radio waves, fiber optic cable, wire, etc. In order to accomplish successful data transfer, each node must operate in accordance with a protocol determining when it may transfer on the medium, for how long, and, in a multiple channel network, on what channel. Additional protocol tasks include error checking and error correction. Various network protocols and network topologies are discussed in earlier related patents in this field owned by the assignees of the present invention, including U.S. patents 5,115,433; 5,007,052; and other patents mentioned below.

U.S. patent 5,079,768 (Baran et. al) issued to one of the inventors of the present invention, describes a peer-to-peer frequency-hopping radio-based communication network in which every receiver node is assigned a different starting point or home slot in a frequency hopping pattern. The frequency-hopping pattern is a randomized ordered list of all the channels available to nodes in the network. The channel order is shared by all the nodes in the network, but contention is reduced by having each node start its channel hopping at a different channel in the list Transmitters

wishing to transmit to a receiver node must switch to that receiver's current frequency in the hopping pattern to transmit a poll packet. The transmitter first listens at the assigned frequency of the receiver to determine if there is any traffic on that frequency. If there is traffic at that frequency, the transmitter waits an interval and the transmitter and receiver both hop to the next frequency in the pattern and the transmitter listens again. Once a frequency is found with no traffic, the transmitter sends a poll packet, and when that poll packet is acknowledged, the transmitter sends a data packet before the hop to the next frequency. In a particular embodiment of this network, frequency hopping occurs once every second.

As discussed the '768 patent, communication on certain frequencies may be restricted in duration in accordance with frequency allocation and bandwidth utilization rules and requirements. Such restrictions may be imposed by a licensing authority, such as the U.S. Federal Communications Commission (FCC). For example, in the 902-928 MHz frequency band, the FCC has proposed a rule that continuous transmission by a single transmitter of no more than 1 watt rf output power on any one channel be of no more than 400 ms duration each 30 seconds, and that at least some if not all other channels be selected prior to retransmission on the same frequency (FCC Rules, Part 15.247). Communication between any given pair of transceivers on a single frequency is thus restricted to packets of information which can be communicated in less than 400ms, and means must be provided to accommodate communication on other frequencies.

Related co-pending U.S. patent application, serial number 08/193,338, claims improvements to the frequency-hopping communication network of Baran. According to the invention disclosed in that application, when a target node returns an acknowledgement, the target node reserves access to itself for the polling station at a preselected time for a preselected duration on a specified frequency channel that is different from its assigned channel according the band

plan. The source node then transmits its data packet on the target node's data receive channel and waits for an acknowledgement on the same receive channel. The source node and the target node exchange information on the same channel throughout the interchange, even though the assigned receive channel of the target node according to the frequency-hopping band plan may have changed in the meantime. With this improvement, collisions between the data packet and other acquisition packets directed to that target node are avoided because all other nodes will direct poll packets to the target at the frequency according to the target's band plan, while the target remains at the data exchange frequency.

Performance of the network described in the '768 patent is limited in two respects. One is due to collisions that may arise when two nodes happen to start out at the same home slot. With the identical frequency-hopping pattern shared by all nodes in the network, two nodes that start out at the same home slot frequency will always hop together and remain on the same frequency. In a specific embodiment of the network described in the '768 patent, there were just 205 channels available. In a mesh network with a large number of nodes, having two nodes share the same starting frequency is a not unlikely occurrence. According to the network protocol, when a collision occurred, the transmitting nodes wait and retransmit at a subsequent frequency hop. If two nodes were hopping on the same band plan, and one node was very busy, an appreciable number of data packets would be lost and would need to be repeatedly transmitted due to repeated collisions.

A second factor limiting performance in the Baran network is that the fixed frequency hopping sequence did not allow transmitters to skip channels on which the receivers could not receive data. This problem is particularly acute when nodes are located in possibly disparate locations throughout a geographic area. Since these nodes operate in the radio frequency spectrum, they are dependent upon it for the propagation of the data carrying signals between the

carrying signals between the nodes. At each particular location, the frequency spectra can have a unique level and pattern of spectral occupancy. Optimum network performance would dictate that those channels that cannot support robust communication at the time not be "bothered with"; unusable frequencies should be seamlessly eliminated, newly useable frequencies should be seamlessly integrated into the frequencies carrying data traffic. However, in the network disclosed in the '768 patent, all nodes were required to hop through all the available channels in the network, even if a particular node could not receive data on that channel.

What is needed is a network with a low-cost, reliable mechanism for establishing a unique channel hopping band plan for each node and that allows individualized seamless elimination of inoperable channels from a particular node's band plan.

#### SUMMARY OF THE INVENTION

In accordance with the invention, a frequency-hopping packet communication network establishes a unique frequency hopping band plan for every receiver in the network. A transmitter establishes synchronization with a target node by receiving from that target a seed value useable by a pseudo-random number generator to determine a frequency-hopping band plan for that target node. In a specific embodiment, the transmitter also receives a "punchout" mask from the target indicating channels to be deleted from the target's band plan. Each node establishes a link table of receiver frequency hopping band plans of each other node in the network within its communication range and uses that table for subsequent transmissions. It is the responsibility of the transmitting node to follow the pre-established frequency-hopping pattern for an immediate target receiver based on information the node has previously acquired in its link table. The frequency-hopping band plan, involving the number of channels and the pseudo-random pattern of frequency change and nominal timing of changes, is universally known to each node in the network. The

details and operation of the invention will be better understood by reference to the following description of specific embodiments in connection with the accompanying drawings.

5

#### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a flowchart illustrating how a table entry at a transmitter node is established when that transmitter receives a acquisition packet from a node.

10

Fig. 2 is a diagram illustrating the generation of a randomized channel-hopping band plan according to the invention.

15

#### DESCRIPTION OF SPECIFIC EMBODIMENTS

Fig. 1 depicts a flow chart illustrating one step in the establishment of a network connection according to the invention. In one specific embodiment of a network built according to the invention, a node on power up initially has no information about other nodes in the network. The node, when initialized on power-up, knows what channels are available for data transmission on the network, knows the network timing protocol, and has a pseudo-random number generator that it can use to establish its own and other nodes' channel hopping band plans. When initialized, the node is provided with a local frequency punchout mask indicating channels on which it cannot receive or transmit data.

20

25

Once a node is turned on, in order to become operational in the network it must acquire network links by transmitting acquisition/synchronization packets on various network channels to any nodes that can hear the transmission. Fig. 1 is a flow chart illustrating the steps a responding target node takes in establishing a network link when it receives an acquisition/synchronization packet. A network connection is established when a target node receives an acquisition/synchronization packet from a source node (Step S1). The acquisition/synchronization packet

30

35

contains information about the node, including a seed value for randomly ordering a channel list and a frequency punch out mask for eliminating channels from the channel list. The responding target node then constructs a subset of the network channel list by eliminating from the list those frequencies in the punchout mask on which the source node cannot transmit or receive data (Step S2). The responding node then uses the seed value from the source node in the acquisition/synchronization packet as a seed value in a pseudo-random number generator and generates a channel hopping band plan for the source node by ordering the channels according to the sequence from the pseudo-random number generator (Step S3). Once the target node has determined the frequency hopping band plan for the source node, it stores that information in its link list (Step S4). The responding node then switches to the source nodes channel according to the band plan and transmits an acknowledgement/acquisition/synchronization packet acknowledging to the source node that it has established a link and giving the source node its own seed value and punchout list so that the source node can determine the target node's band plan (Step S5).

In a specific embodiment, a network according to the invention operates between the frequencies 902-928 Mhz with 162 channels that are 160 Khz wide. Nodes in the network transmit in their acquisition/synchronization packet a seed value that is one byte long, is derived from their node address, and is co-prime with the number of available channels. Nodes also transmit a punchout mask that is 21 bytes (168 bits) long. Fig. 2 illustrates the generation of a particular node's randomized frequency hopping band plan from a network channel list and a punchout mask according to the invention using, as an example, a network channel list 10 of eight 160 Khz channels ranging from 902 to 903.28 Mhz and, as an example, a punchout frequency mask 20 of eight bits. Network channel list 10 is a list in natural order of all the channels frequencies (in this example eight) available to nodes in the network. Frequency mask 20 is an



mask received from an acquiring node indicating channels that are to be eliminated from the channel list, with a zero indicating channels to be eliminated and a one indicating channels used by the source node. A target node logically ANDS together channel list 10 with mask 20 to generate channel list 30 and then collapses the channel list by deleting unused channels to generate channel list 40, which lists the channels used by the target node in natural order. The target node then randomizes these remaining channels using a pseudo-random number generator seeded with the seed value of the source node to generate list 50, which is the channel hopping band plan for the source node.

A pseudo-random number generator is a well known apparatus for generating a "pseudo-random" sequence of numbers. The random number generator is referred as "pseudo" because the method used to generate the sequence is actually deterministic. It typically depends on a seed value used to begin the pseudo-random number generator and whenever an identical seed value is used, the random number generator will produce an identical pseudo-random sequence. In a network according to the invention, each node in the network includes a pseudo-random number generator of identical operation. The generators are designed to accept a seed value and a range value and generate a pseudo-random non-repeating sequence of integers in the given range. According to the invention, each node, therefore, can reproduce an arbitrary length pseudo-random non-repeating integer sequence given the seed value for that sequence and the desired range.

In the example illustrated in Fig. 2, the pseudo-random number generator is provided the seed value from the source node and is provided a range equal to the number of channels remaining in channel list 40 after punchout; in this example, five. The generator then generates the pseudo-random sequence 1, 5, 2, 4, 3 and this is used to construct table 50, with the first useable channel having the first position in the table, the fifth useable channel having the second position in the table, and so on.

The source node itself uses the process illustrated in Fig. 2 to construct its own channel hopping band plan from its seed value and punchout mask.

Once the nodes in a network built according to the invention have acquired link information about neighboring nodes with which they can communicate, the nodes may communicate packets according to any number of frequency-hopping network protocols, including those disclosed in the Baran patent and the patent application serial number 08/193,338, discussed above. As discussed in those patents, during network operation, transmitters are responsible for following their targets frequency-hopping band plans with the difference that each node in a network according to the invention, will have a different randomized frequency-hopping band plan of a length that will vary for each node based on the number of channels that are punched out by that node's punchout mask. In a further embodiment of the invention, a source node uses its own punchout mask when transmitting to avoid transmitting on channels on which it cannot effectively transmit. If a target node is at such a channel, the source node simply waits until the next channel hop before transmitting to that target node.

Other, less effective methods for exchanging a unique frequency hopping band plan for each node will be apparent. A node could transmit its entire band plan with each acquisition packet, thus eliminating the need for transmitting a seed value or punchout mask. This, however, would be more expensive in terms of use of network resources for overhead than the present invention. Or a node could pass information organized in any number of different ways to indicate the order of its channel hopping and what channels it used. It will be seen, however, that given that each node must include a pseudo-random number generator to generate its own band plan, having nodes exchange just a seed value and 21 byte mask to fully specify a unique randomized subset of 162 channels provides maximum flexibility while using the least amount of network resources.

The invention has now been explained with reference to specific embodiments. Other embodiments will be apparent to those of ordinary skill in the art. It is therefore not intended that this invention be limited except as indicated by the appended claims.

WHAT IS CLAIMED IS:

- 1           1. In a communications network having a plurality  
2   of channel-agile nodes each capable of transmitting and  
3   receiving data over a plurality of shared channels, said  
4   shared channels having a natural order, a method for  
5   enabling a first node and a second node to follow a shared  
6   randomized channel-hopping band plan while avoiding channels  
7   containing interference, comprising the steps of:
  - 8           a) assigning to each node a unique seed value that  
9   can be used by a pseudo-random number generator to generate  
10   a list of channels in pseudo-random order;
  - 11          b) determining a local channel punchout mask for  
12   each node, said channel mask indicating in natural order  
13   those channels on which that node experiences interference;
  - 14          c) transmitting from said first node to said  
15   second node an acquisition packet, said acquisition packet  
16   including a unique seed value for said first node and a  
17   local channel mask for said first node;
  - 18          d) applying at said second node said channel mask  
19   to a natural ordered channel list to eliminate channels on  
20   which said first node experiences interference to obtain a  
21   natural order channel list for said first node;
  - 22          e) determining a channel-hopping band plan for  
23   transmissions to said first node by ordering the channels in  
24   the channel list according to a sequence generated by a  
25   pseudo-random number generator seeded with said seed value;
  - 26          f) transmitting from said second node to said  
27   first node an acknowledgement packet including a seed value  
28   for said second node and a local channel mask for said  
29   second node;
  - 30          g) repeating steps d to f at said first node in  
31   order to establish a masked channel-hopping band plan for  
32   transmissions to said second node; and
  - 33          h) thereafter transmitting data to said first node  
34   and to said second node according to said masked channel-  
35   hopping band plans.

1           2. The method according to claim 1 wherein said  
2           seed values are derived from an address of the nodes.

1           3. The method according to claim 1 wherein said  
2           channel mask is a sequence of bits, each bit having a value  
3           of either 0 or 1, where bits of one value correspond to  
4           channels in the channel list that are used by the node and  
5           bits of the other value correspond to channels in the  
6           channel list that are not used by the node and are therefore  
7           to be eliminated from the nodes channel-hopping band plan.

1           4. The method according to claim 1 further  
2           comprising:

3           i) storing at each node the masked channel hopping  
4           band plan of other nodes in the network to facilitate future  
5           data transfers.

1           5. In a node operable on a communications network  
2           having a plurality of shared-medium channels and having a  
3           plurality of channel-agile nodes each node capable of  
4           transmitting and receiving data over a plurality of said  
5           channels, a method for establishing a unique channel-hopping  
6           band plan for that node and communicating that band plan to  
7           other nodes in the network comprising the steps of:

8           a) assigning to that node a unique seed value;

9           b) determining a channel punchout mask, said mask  
10          indicating those channels on which the node experiences  
11          interference or is otherwise unable to receive data;

12          c) applying said mask to a list of network  
13          channels to eliminate undesirable channels and therefore to  
14          obtain a list of available node channels;

15          d) randomizing said list of available node  
16          channels by using a deterministic pseudorandom number  
17          generator seeded with said seed value thereby obtaining a  
18          unique channel-hopping band plan; and

19          e) communicating said unique channel-hopping band  
20          plan to other nodes in the network by transmitting said  
21          unique seed value and said mask.

1           6. The method according to claim 5 wherein said  
2 seed value is derived from an address of the node.

1           7. The method according to claim 5 wherein said  
2 mask is a sequence of bits, each bit having a value of  
3 either 0 or 1, where bits of one value correspond to  
4 channels in the channel list that are used by the node and  
5 bits of the other value correspond to channels in the  
6 channel list that are not used by the node and are therefore  
7 to be eliminated from the node's channel-hopping band plan.

1           8. A communications network comprising:  
2 a shared medium with a plurality of channels; and  
3 a plurality of intelligent channel-agile nodes  
4 each capable of transmitting and or receiving on a plurality  
5 of channels of said shared medium wherein each of said nodes  
6 capable of receiving is assigned a seed value and a  
7 frequency punchout mask from which any node is capable of  
8 deriving a unique channel hopping band plan for that node  
9 and wherein said band plan is comprised of a random ordering  
10 of those network channels on which the node can receive data  
11 and wherein the band plans of different nodes may contain a  
12 different number of channels.

1/2

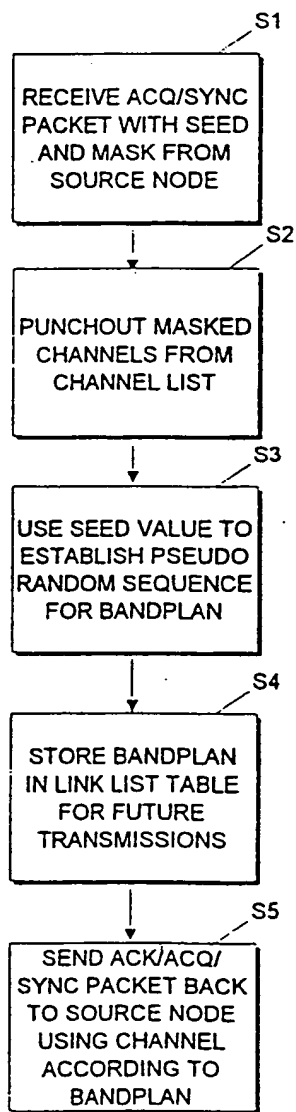


FIG. 1

2/2

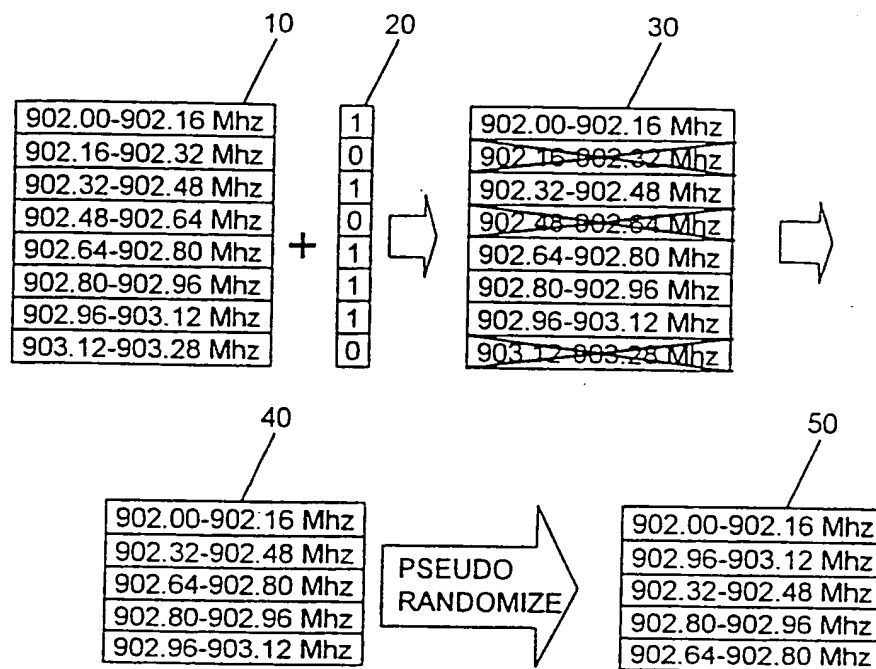


FIG. 2



## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US95/07956

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) :H04B 15/00

US CL :370/50, 76; 375/202

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 370/50, 69.1, 70, 76, 95.1, 95.2, 95.3; 375/202; 455/61, 62, 63, 69, 70, 71, 138, 166.1

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US, A, 5,079,768 (FLAMMER) 07 January 1992, col. 3, lines 38-44, col. 7, lines 55-68.	5, 6 and 8
Y	US, A, 5,361,401, (PIRILLO) 01 November 1994, figure 4A.	5, 6 and 8
Y	US, A, 4,554,668, (DEMAN et al) 19 November 1985, abstract.	5, 6 and 8

☐

Further documents are listed in the continuation of Box C.

☐

See patent family annex.

* Special categories of cited documents:	"T"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be part of particular relevance	"X"	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E" earlier document published on or after the international filing date	"Y"	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L" document which may throw doubt on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"&"	document member of the same patent family
"O" document referring to an oral disclosure, use, exhibition or other means		
"P" document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search

30 JULY 1995

Date of mailing of the international search report

21 AUG 1995

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231Authorized officer  
*[Signature]*  
BUY D. VU